

2021 Professional Conduct Report

Complaints Investigation Committee (CIC)

At the start of 2021, the CIC had 3 ongoing discipline files from 2020.

Two were commenced under the former *Legal Profession Act* and rules, and one was commenced under the new *Legal Profession Act, 2017*.

In order to proceed with the two complaints commenced under the former *Legal Profession Act*, it was necessary to introduce rule amendments to address the transitional provisions in the new rules. This was done in June 2021.

In addition to the three ongoing complaints from 2020, the CIC received 9 new complaints in 2021.

Of the 12 active complaints in 2021:

- Two complaints did not proceed;
- One was referred to a Complaints Investigation Sub-Committee under Rule 117 for review, and subsequently disposed of under s. 64(e) of the LPA;
- Three were dismissed by the Investigator under s.64(a) of the LPA; and
- Six were referred to an Investigator by the CIC Chair, which reviews were ongoing as of December 31, 2021.

Complaints Dismissal Review Committee

Two of the three complaints dismissed were appealed to the Complaints Dismissal Review Committee.

Both appeals were reviewed by an Appeal Panel and the decisions to dismiss by the Investigators upheld.

Discipline Committee

No matters were referred by an Investigator to the Discipline Committee for a hearing.