Law Society of Yukon

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FORM 5

Application to be a Principal for an Articled Student

(Rule 62)

Name

Last Name	First Name	Middle Name(s)

Contact Information

Name of Firm/Employer			
Street			
City	Province/Territory	Postal Code	Country
Telephone Work Home	Fax	Email Address	

Check box that applies:

☐ I apply under subrule 62(1) to be approved by the Law Society of Yukon (Society) to be a principal for an articled student. (Note: Only resident members are eligible to be a principal for an articled student.)

☐ I apply under subrule 62(2) to be approved by the Society to be a principal for an articled student under special articles. (Note: Non-resident members may apply to be a principal for an articled student under special articles.)

1. I am an active member of the Society and resident in Yukon. ☐ Yes ☐ No

2. I am an active member of the Society and not resident in Yukon. ☐ Yes ☐ No

3. I have provided legal services for at least seven years as an active member, or a lawyer licensed to practice law in another province. ☐ Yes ☐ No

4. a. My practice would provide an articled student with a reasonable opportunity for instruction and training in the general practice of law. ☐ Yes ☐ No

b. If your practice is not able to provide instruction and training in the general practice of law, what arrangements do you propose to make to ensure the articled student obtains the required training and instruction? (Attach detailed proposal on separate sheet of paper)

5. I have read the Articling Agreement including the Articling Skills and Practice Checklist, or if applying for principal of an articled student under special articles, the Special Articles Agreement, and confirm I am able to fulfill the responsibilities of a principal. ☐ Yes ☐ No

6. Name of articled student for whom you wish to act as a principal:

7. Proposed period of articles/special articles:

_____ to _____
month/day/year month/day/year

8. Is it anticipated that the articled student will serve a period of articles with another active member as allowed under Rule 55(1)? ☐ Yes ☐ No

If yes, provide details:

Membership History

The Society's goal in approving active members to be principals is to ensure that students have the opportunity to work with practitioners who are experienced, competent and conduct themselves to high ethical standards. Any relevant information will be considered in assessing the suitability of a member to be a principal, including the records maintained by the Society in connection with a member's insurance and professional standards.

If you answer yes to any of questions 9 to 13 below, provide details on a separate sheet.

9. Have you been the subject of two or more professional liability claims arising from separate incidents, in which the claimants received compensation and for which there was an admission of liability or finding of liability, made against you? ☐ Yes ☐ No

10. Have you been the subject of a claim made upon the Compensation Fund or similar fund in another jurisdiction for which restitution was paid? ☐ Yes ☐ No

11. Are you aware of any open complaints or investigations being administered by the Society, another governing body, or a body that regulates legal professionals in a jurisdiction outside Canada related to your conduct? ☐ Yes ☐ No

12. Have you been the subject of a charge of professional misconduct or conduct unbecoming a lawyer by the Society, another governing body, or a body that regulates legal professionals in a jurisdiction outside Canada? ☐ Yes ☐ No

13. Have you been subject to a supervisory order or had restrictions or conditions imposed on your practice of law? ☐ Yes ☐ No

I solemnly declare that the information provided in this application is complete and accurate as of today's date. I make this declaration in support of my application conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

DECLARED before me at the City of _____, in _____, this _____ day of _____, 20____.

_____
A Notary Public or Commissioner for Oaths in and for:
_____
(insert jurisdiction)

_____
Signature of Applicant
Print name: _____

DIRECTION AND UNDERTAKINGS

I, _____, the applicant in this application to be a principal for an articled student to the Law Society of Yukon (Society):

1. direct the Executive Director to provide the Credentials Committee with my professional conduct record and record of professional liability claims paid for which there was an admission of liability or a finding of liability made against me;

2. undertake to instruct the articled student in the practice of law to the best of my ability if my application is approved;

3. undertake to provide the articled student with a workplace free from harassment and discrimination and treat the articled student respectfully and fairly;

4. undertake to supervise and mentor the articled student in accordance with the Articling Agreement, or Special Articles Agreement if applicable, the *Legal Profession Act, 2017*, the Rules of the Law Society of Yukon, and the Code of Conduct.

5. undertake to notify the Credentials Committee if I become aware of any matter that may affect my eligibility to continue to act as principal.

Date: _____ _____
Member's Signature